



**ACS
NSQIP**

**American College of Surgeons
National Surgical Quality Improvement Program**



AMERICAN COLLEGE OF SURGEONS
*Inspiring Quality:
Highest Standards, Better Outcomes*
100+ years

Program Overview



- ACS NSQIP is a data-driven, risk-adjusted, outcomes-based program to measure and improve the quality of surgical care.
- Reliable data helps in -
 - Identifying quality improvement targets
 - Improving patient care and outcomes
 - Decreasing institutional healthcare costs

History of the ACS NSQIP

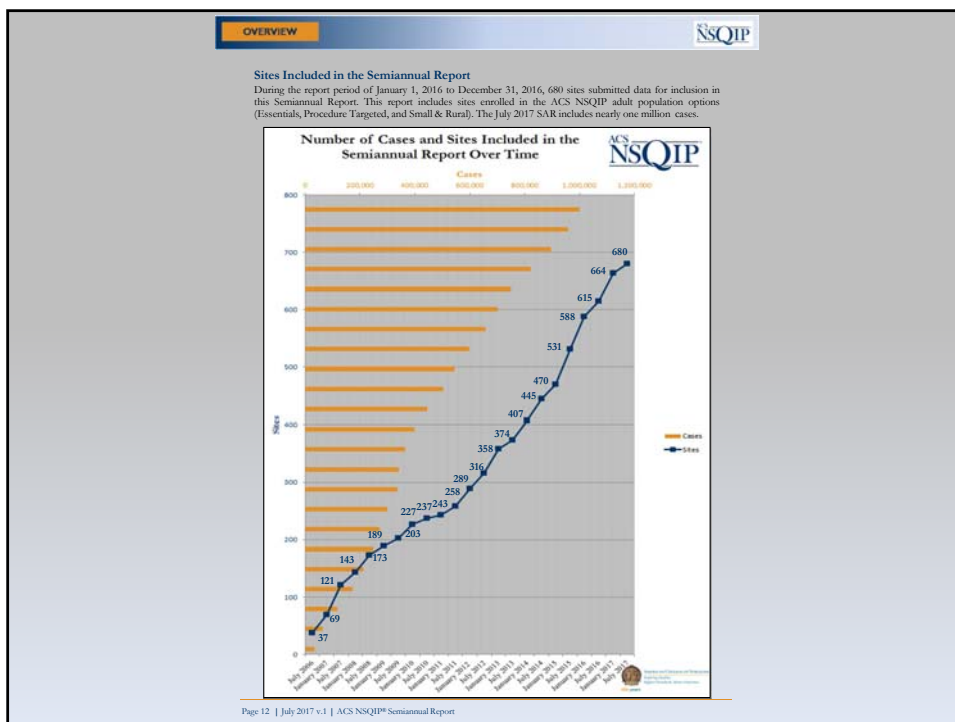
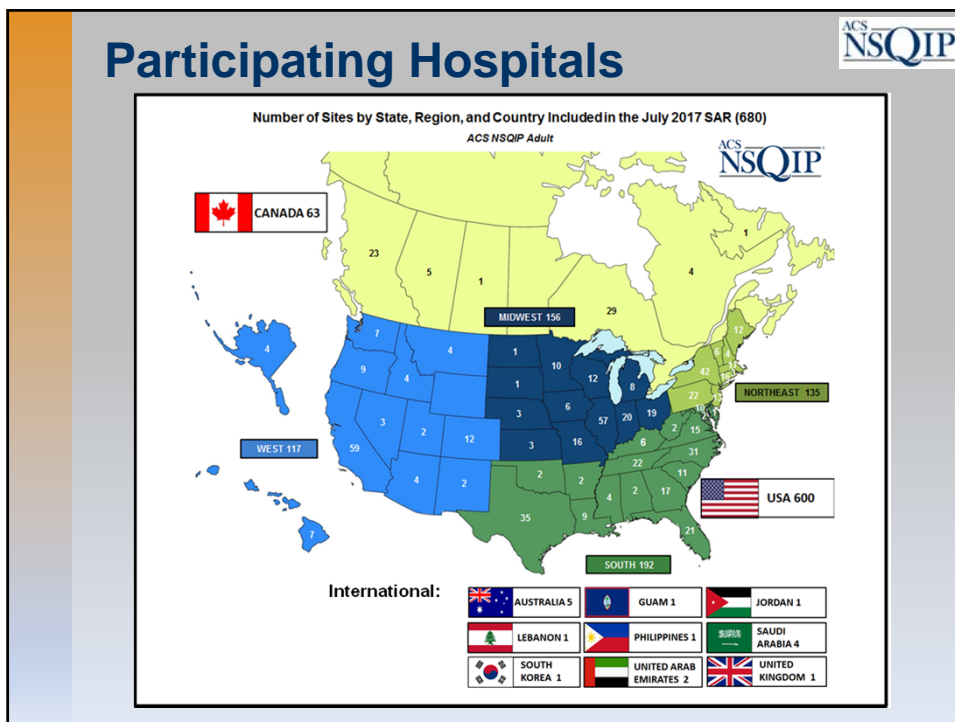


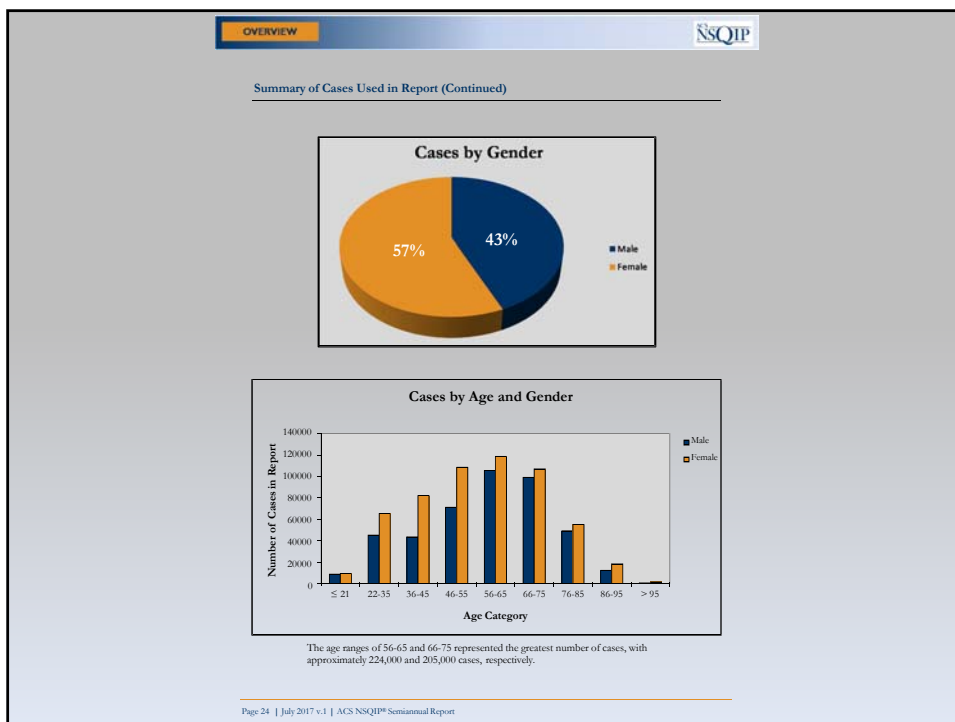
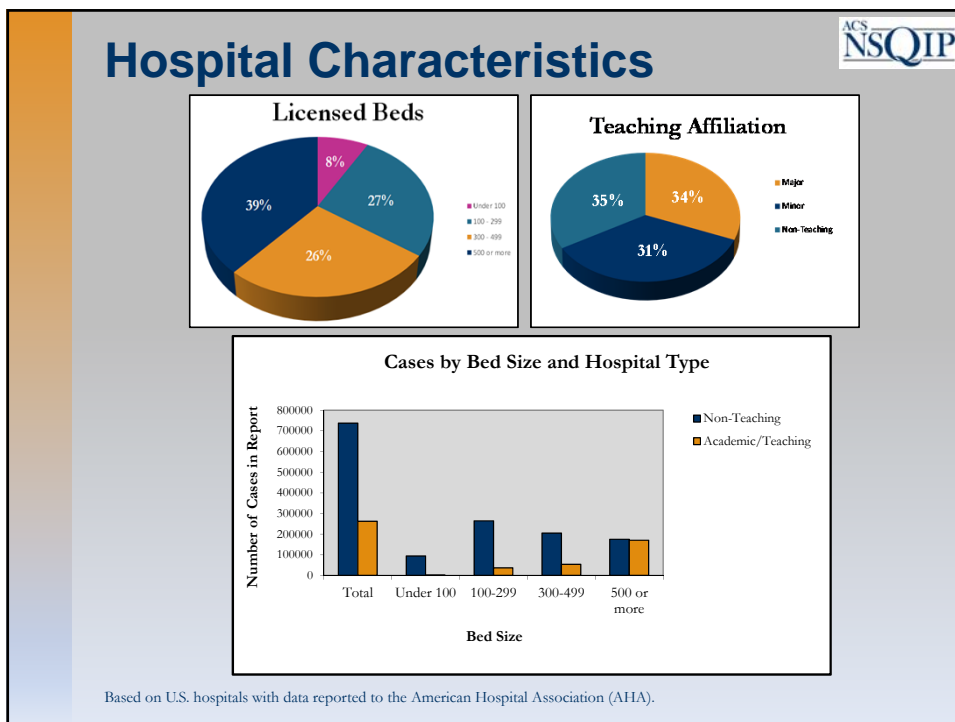
- Originated in the Veterans Health Administration and has been operational since 1991

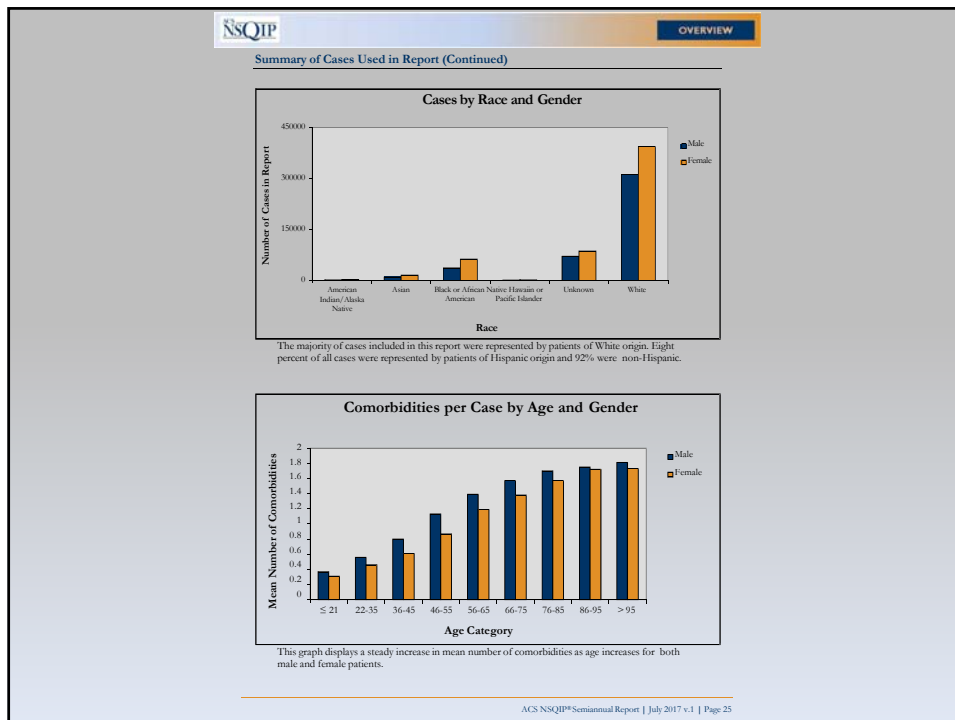
In 1994, the NVAIRS expanded to all 128 VHA hospitals that performed surgery and became the National Surgical Quality Improvement Program (NSQIP). In 1995, a validation study was conducted to determine the validity of the risk-adjusted surgical morbidity and mortality rates as measures of quality of care. This study focused on assessing the processes and structures of care in surgical services in order to determine which sites had higher- or lower-than-expected risk-adjusted mortality and morbidity rates.

As of 2003, there were over 1.3 million major surgical cases in the VHA database. Impressive results from the NSQIP in the VHA have demonstrated a 27% decrease in 30-day surgical mortality and a 45% decrease in 30-day surgical morbidity.

- In 2001, ACS received funding to implement NSQIP pilot program in private sector hospitals.
- In 2004, ACS expanded the program to additional private sector hospitals.
- In 2011, the ACS launched different NSQIP participation options tailored to hospital needs.







Quality Improvement Process



1. Hospitals abstract data.
2. Data are analyzed by ACS NSQIP.
3. Data are reported back to hospitals.
4. Hospitals act on their data.
5. Hospitals monitor interventions with data.

ACS NSQIP Case Selection



Systematic Sampling Process

- 8-day cycle eliminates bias due to day of week as associated with surgeon operative schedules
- Cases are selected based on the inclusion/exclusion criteria of the hospital's selected participation option

Inclusion/Exclusion Criteria

- Inclusion based on CPT® codes of major cases and is updated annually
- Exclusion criteria:
 - Under age 18
 - Trauma and Transplant
 - ASA class 6

Program Overview



- Includes general and vascular surgery cases as well as subspecialties and targeted procedures
- Program uses clinical data, not administrative data
- Outcomes assessed at 30 days after index surgery (inpatient or outpatient)
- Highly standardized and validated data definitions
- SCR training/certification and hospital audits insure data quality
- Advanced data analytics provide risk adjustment and smoothing (reliability adjustment for small sample sizes)
- Provides data-driven tools for clinical decision making

	Essentials	Small & Rural	Procedure Targeted
Who is Eligible	Any hospital	Small and rural hospitals (Small defined as under 1680 cases per year or RUCA definition for rural)	Any hospital
Best Suited For	Those wanting to collect only the essential elements for QI Purposes	Small and rural hospitals	Larger hospitals; Those w/ CPT codes available w/in the hospital
Number of Variables	Approx. 46 "Clinical" Variables	Approx. 46 "Clinical" Variables (Same as Essentials)	"Core" set of approx. 46 "Clinical" variables + Procedure specific variables ("Core" set is the same as Essentials)
Versions Available	General/Vascular Multispecialty	Multispecialty	General/Vascular Multispecialty
Case Volume Requirements	G/V = 1680 cases per year or all cases if less than 1680 Multi = 20% total case volume by specialty (minimum 1680 cases or all cases if less than 1680)	Maximum - 1680 cases per year	Minimum - 1680 cases per year (Exact volume dependent on the # of targeted procedures selected and hospital volume for each of these procedures)
Sampling	G/V = 40 cases per 8 day cycle Multi = May be more than 40 cases per 8 day cycle - dependent on volume	All cases (100% capture)	15 "Core Cases" per 8 day cycle (use NSQIP standard sampling methodology to select cases) 25 "Procedure Targeted" Cases per 8 day cycle (or more if additional FTEs available)
FTE Requirements	1 FTE May be more for Multispecialty based on case volume. 40 cases a cycle = 1 FTE	¼ FTE for up to 400 cases ½ FTE for up to 800 cases ¾ FTE for up to 1200 cases 1 FTE for up to 1680 cases	1 FTE (Minimum) May be more if hospital chooses to collect more than 1,000 "Targeted" procedures per year

Data Collection



Preoperative data

- Demographics
- Clinical laboratory variables

Intraoperative data

- Surgical Profile
- Clinical variables and complications

Postoperative data

- 30-day outcomes (inpatient and outpatient)
- Complications and discharge variables

Custom fields

- Allows sites to create their own variables for internal tracking and evaluation

Data Available to Hospitals



Workstation Reports

- Permits immediate evaluation on non-risk adjusted data and comparisons to similar types of hospitals
- Hospitals can download case details for selected cases
- Custom reports are available upon request

On-demand Benchmarking

- Risk-adjusted and smoothed rates and comparison to the average ACS NSQIP hospital
- Monitor performance changes over time
- Quality estimates for unique groups of patients

Semiannual Reports (SARs)

- Risk-adjusted and smoothed odds ratios and comparison to the average ACS NSQIP - modeled for a single data year using gold-standard methodology

Participant Use Files (PUFs)

- Research file contains all cases reported from 2005 to date

ACS NSQIP Overview Hospital engagement



- Surgeon Champion:
- Dedicated Surgical Clinical Reviewer(s):

Costs



- Program registration \$20,000.
- Wages SCR
- Time and commitment

Data Collection

Data Collection is standardised with strict definitions for every occurrence.

Example – Surgical Site Infection

Variable Name: Superficial Incisional SSI**Program Legend:** E,S-R,T**Intent of Variable:** To capture the occurrence of infection that does not meet the more severe criteria of deep incisional SSI or organ/space SSI.**Definition:** Superficial incisional SSI is an infection that involves only skin or subcutaneous tissue of the surgical incision.**Criteria:** An infection that occurs within 30 days after the principal operative procedure **AND** the infection involves only skin or subcutaneous tissue of the incision **AND** at least **ONE** of the following:

Purulent drainage, with or without laboratory confirmation, from the superficial incision

Organisms isolated from an aseptically obtained culture of fluid or tissue from the superficial incision

Superficial incision is deliberately opened by the surgeon (see note below)

AND

At least one of the following signs or symptoms of infection:

pain or tenderness

localized swelling

redness

Heat

If the patient meets criterion C and the surgical incision is cultured, a negative culture result would exclude the assignment of Superficial SSI based on criterion C only.

D. Diagnosis of superficial incisional SSI by the surgeon or attending physician

Results

Semi annual report

Smoothed results

Individual Hospital

Collaborative report

How are the results generated

	Sites Included	Total Cases	Observed Events	Observed Rate (%)	Low Outliers	High Outliers	In/Decile	300 Days	Emergency	Needs Improvement
Measures										
MEASURE DSM ²	680	999,597	67,099	6.71	97	125	68	68	101	129
MEASURE Elderly DSM ²	679	364,968	34,917	9.57	52	72	67	68	77	81
MEASURE Colon DSM ²⁷	663	56,341	8,781	15.39	4	11	66	66	66	66
MEASURE Colon SSI	663	56,341	4,361	7.74	3	36	66	66	66	66
MEASURE Deep / OS SSI	680	999,597	11,829	1.18	25	88	68	68	69	92
MEASURE UTI ¹⁵	680	940,273	8,738	0.93	43	102	68	68	71	104
MEASURE LEB DSM ^{16,12}	474	9,572	846	8.84	1	0	47	47	47	47
All Cases										
ALLCASES Mortality	680	999,597	9,781	0.98	19	34	68	68	68	68
ALLCASES Morbidity ³	680	999,597	59,455	5.95	123	145	68	68	125	149
ALLCASES Cardiac ³	680	999,597	6,375	0.64	8	46	68	68	68	70
ALLCASES Pneumonia	680	997,279	9,497	0.95	45	93	68	68	73	99
ALLCASES Unplanned Intubation	680	999,303	6,620	0.66	7	28	68	68	68	68
ALLCASES Ventilator > 48 Hours	680	997,673	6,935	0.70	19	48	68	68	68	78
ALLCASES VTE ⁴	680	999,597	8,020	0.80	9	48	68	68	68	71
ALLCASES Renal Failure ⁵	680	999,145	4,530	0.45	3	17	68	68	68	68
ALLCASES UTI	680	997,746	10,309	1.03	48	102	68	68	70	103
ALLCASES SSI ⁶	680	992,866	24,077	2.42	65	132	68	68	77	134
ALLCASES Sepsis	680	984,691	9,083	0.92	26	77	68	68	68	81
ALLCASES C.diff	680	999,597	4,058	0.41	5	40	68	68	68	70
ALLCASES ROR	680	999,597	22,951	2.30	42	61	68	68	69	72
ALLCASES Readmission	680	999,597	49,585	4.96	39	63	68	68	70	72

Site Number: 2482

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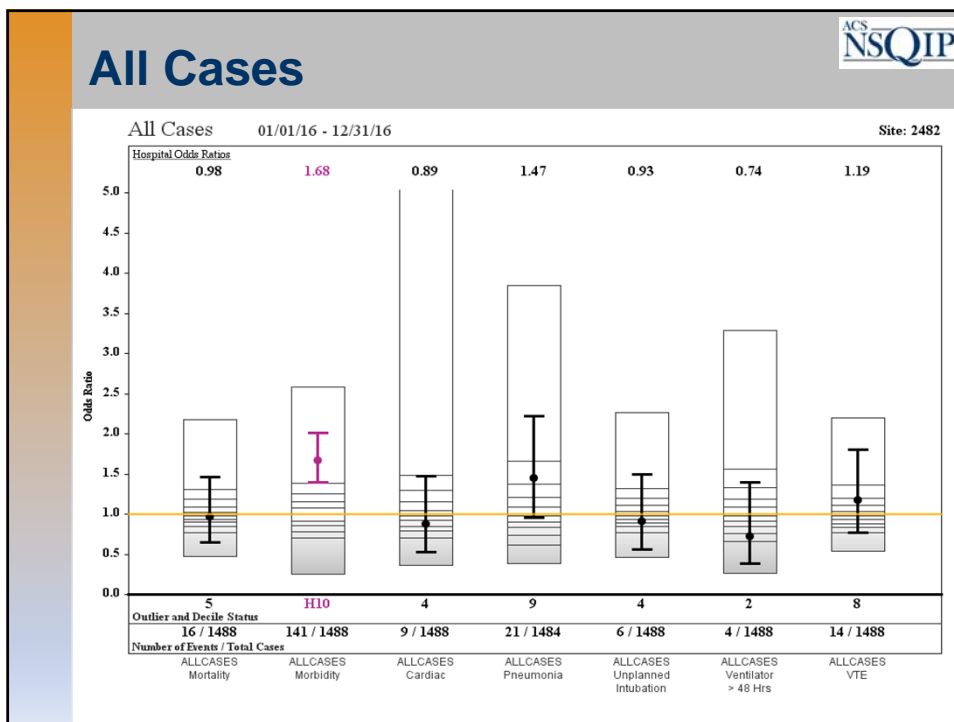
Vascular										
Cases	Events	Observed Rate	Pred** Rate	Expected Rate	Odds Ratio	CL***		Outlier	Decile	Comment*
						Lower	Upper			
VASC-Mortality	149	3	2.01%	1.84%	1.79%	1.03	0.58	1.81	7	As expected
VASC-Morbidity	149	13	8.72%	8.20%	7.93%	1.07	0.71	1.56	7	As expected
VASC-CathE	149	4	2.68%	2.21%	1.96%	1.13	0.58	2.21	8	As expected
VASC-Pneumonia	149	2	1.34%	1.21%	1.09%	1.08	0.48	2.46	8	As expected
VASC-UnplannedIntubation	149	1	0.67%	0.81%	0.80%	0.98	0.52	1.83	5	As expected
VASC-Ventilator > 48Hours	149	2	1.34%	0.81%	0.77%	1.68	0.41	1.80	2	As expected
VASC-VTE	149	1	0.67%	0.45%	0.45%	1.05	0.50	2.21	8	As expected
VASC-HeartFailure	149	2	1.34%	0.77%	0.68%	1.68	0.40	1.62	1	Embolytic
VASC-UTI	149	2	1.34%	0.80%	0.79%	1.08	0.58	2.03	9	As expected
VASC-SE	149	3	2.01%	2.40%	2.37%	0.83	1.02	1.87	3	As expected
VASC-Septa	147	0	0.00%	0.55%	2.02%	0.88	0.43	1.81	2	As expected
VASC-CathCathE	149	2	1.34%	0.80%	0.79%	1.08	0.58	2.03	2	As expected
VASC-Stroke	149	6	4.03%	4.55%	4.84%	0.81	0.54	1.53	3	As expected
VASC-Readmission	149	8	5.37%	5.16%	5.12%	1.01	0.74	1.39	6	As expected

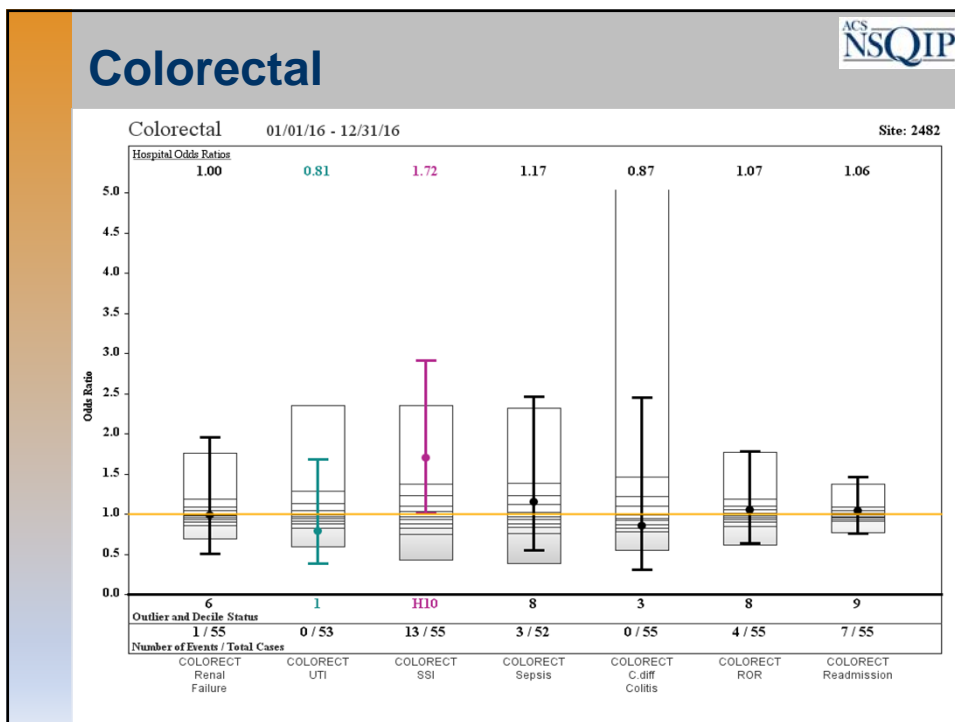
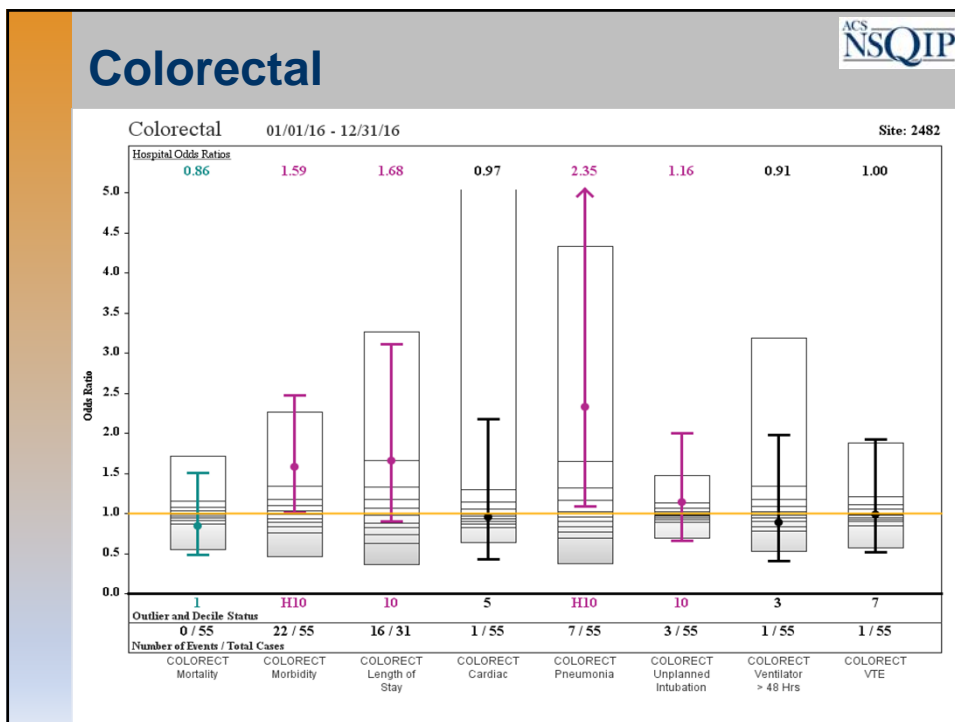
Measure										
Cases	Events	Observed Rate	Pred** Rate	Expected Rate	Odds Ratio	CL***		Outlier	Decile	Comment*
						Lower	Upper			
MEASURE-ESM	1488	132	8.87%	8.82%	8.80%	1.32	1.10	1.58	10	Needs Improvement
MEASURE-ESM-ESM	1488	81	5.44%	5.22%	5.22%	1.41	1.14	1.74	10	Needs Improvement
MEASURE-Cath-ESM	1488	16	1.07%	0.92%	0.92%	1.16	0.60	2.05	10	Needs Improvement
MEASURE-Cath-ESM	1488	12	0.81%	0.81%	0.81%	1.19	0.77	1.81	10	Needs Improvement
MEASURE-Chem-ESM	1488	18	1.21%	1.07%	1.07%	1.13	0.60	2.07	9	As expected
MEASURE-UTI	1488	26	1.75%	1.73%	1.73%	1.01	0.77	1.31	10	Needs Improvement
MEASURE-LEBOM	9	0	0.00%	5.37%	5.64%	0.95	0.50	1.79	3	As expected

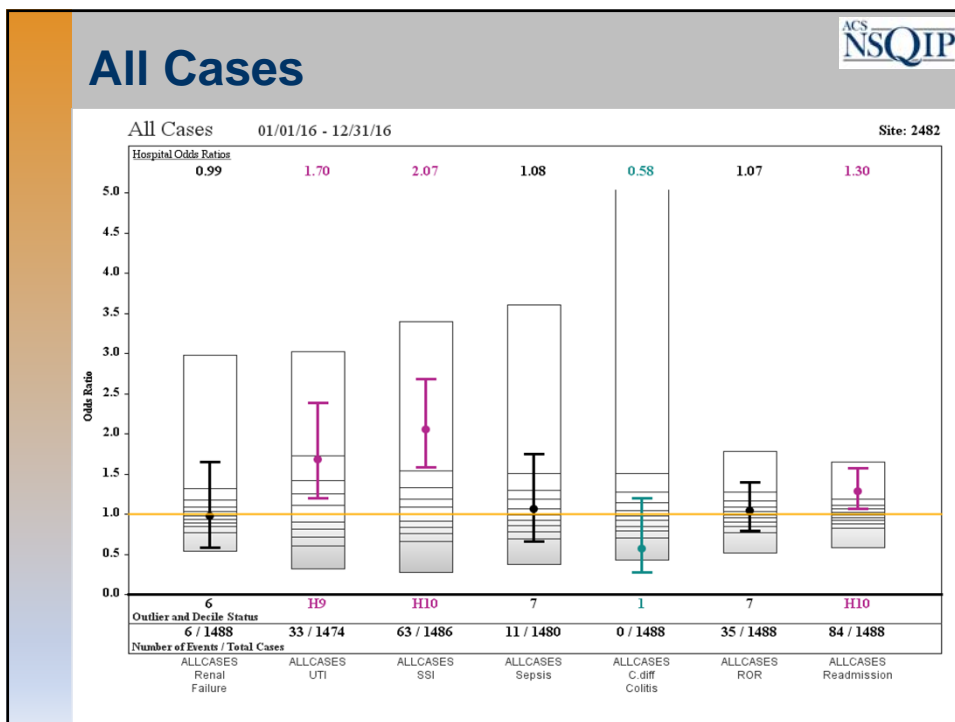
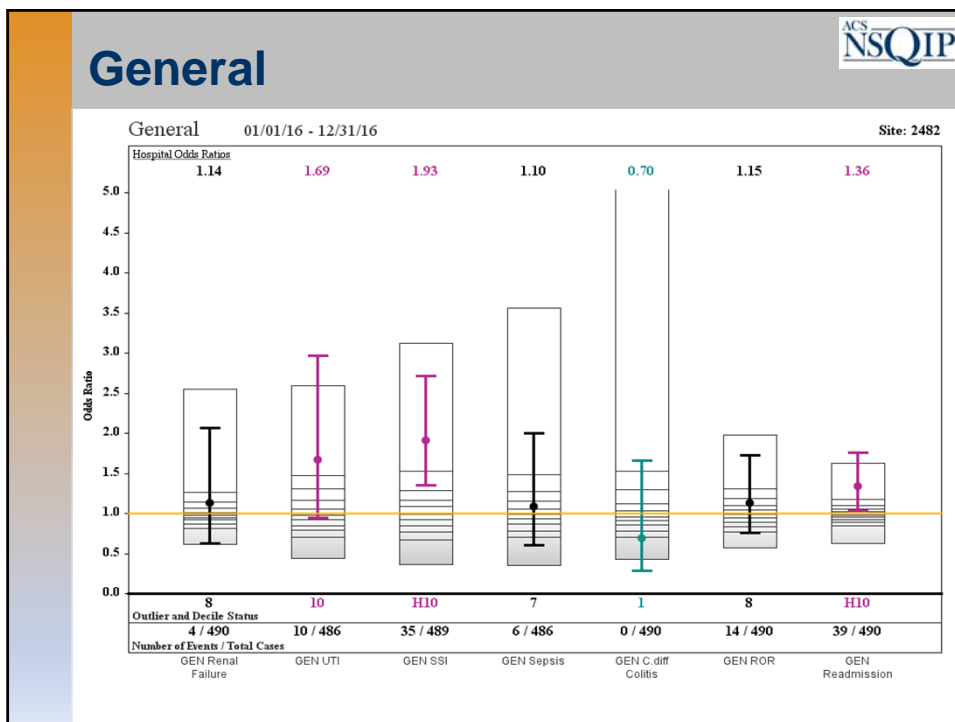
All Cases										
Cases	Events	Observed Rate	Pred** Rate	Expected Rate	Odds Ratio	CL***		Outlier	Decile	Comment*
						Lower	Upper			
ALLCASES-Mortality	1488	16	1.08%	1.08%	1.07%	0.98	0.60	1.61	5	As expected
ALLCASES-Morbidity	1488	141	9.48%	9.37%	9.39%	1.00	0.40	2.03	10	Needs Improvement
ALLCASES-CathE	1488	9	0.60%	0.60%	0.57%	1.08	0.53	1.93	4	As expected
ALLCASES-Pneumonia	1488	21	1.42%	1.30%	1.20%	1.17	0.57	2.24	9	As expected
ALLCASES-UnplannedIntubation	1488	5	0.34%	0.45%	0.45%	0.80	0.57	1.51	4	As expected
ALLCASES-Ventilator > 48Hours	1488	4	0.27%	0.36%	0.47%	0.74	0.39	1.41	2	As expected
ALLCASES-VTE	1488	14	0.94%	0.81%	0.79%	1.19	0.78	1.83	8	As expected
ALLCASES-HeartFailure	1488	6	0.40%	0.41%	0.41%	0.99	0.59	1.67	6	As expected
ALLCASES-UTI	1488	26	1.75%	1.73%	1.73%	1.01	0.77	1.31	10	Needs Improvement
ALLCASES-SE	1488	33	2.22%	2.01%	2.07	1.08	0.79	1.50	10	Needs Improvement
ALLCASES-Septa	1488	11	0.74%	0.71%	0.69%	1.08	0.68	1.77	7	As expected
ALLCASES-CathCathE	1488	9	0.60%	0.57%	0.59%	1.08	0.58	1.91	1	Embolytic
ALLCASES-Stroke	1488	26	1.75%	1.73%	1.73%	1.01	0.77	1.31	10	As expected
ALLCASES-Readmission	1488	84	5.65%	5.11%	4.80%	1.20	1.07	1.39	10	Needs Improvement

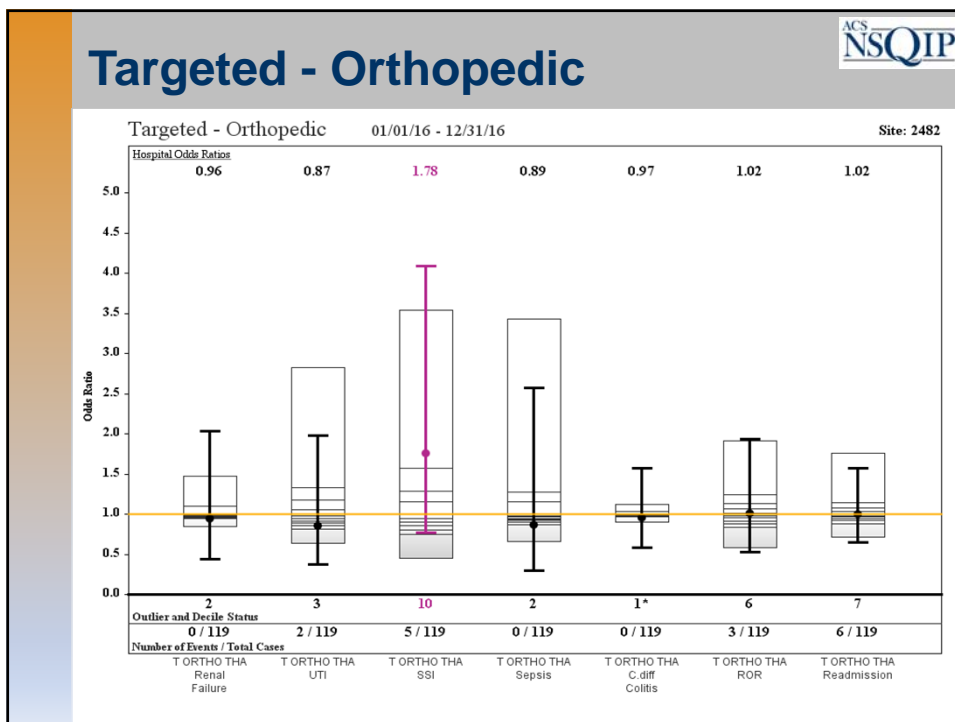
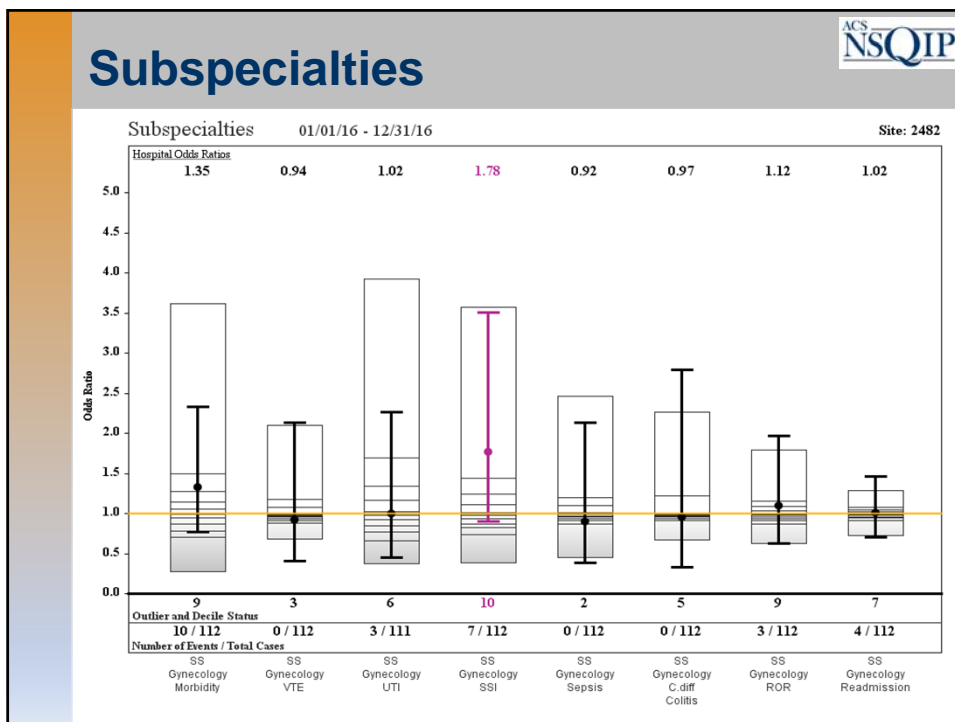
Subspecialties										
Cases	Events	Observed Rate	Pred** Rate	Expected Rate	Odds Ratio	CL***		Outlier	Decile	Comment*
						Lower	Upper			
SS-Genetics-Mortality	112	0	0.00%	7.05%	5.14%	4.36	0.78	2.34	9	As expected
SS-Genetics-VTE	112	0	0.00%	0.27%	0.20%	0.84	0.41	1.15	3	As expected
SS-Genetics-UTI	112	3	2.70%	2.68%	2.62%	1.02	0.45	2.38	6	As expected
SS-Genetics-ESM	112	2	1.80%	1.89%	2.02%	1.16	0.60	2.12	10	Needs Improvement
SS-Genetics-CathE	112	0	0.00%	0.36%	0.42%	0.82	0.39	1.15	2	As expected
SS-Genetics-CathCathE	112	0	0.00%	0.60%	0.60%	0.87	0.34	2.81	5	As expected
SS-Genetics-SE	112	3	2.70%	1.67%	1.69%	1.62	0.83	1.98	9	As expected

* Determined by Outlier status or by Decile status. When a Procedure Targeted model does not identify any statistical outliers, hospitals are not assigned to Needs Improvement, As expected, or Embolytic.
 ** Predicted Observed Rate is the model-adjusted observed rate.
 *** CL = 95% Confidence Interval.









Port Macquarie Base Hospital Site Number: 2482

ACS NSQIP Semianual Report: Site Summary Over Time

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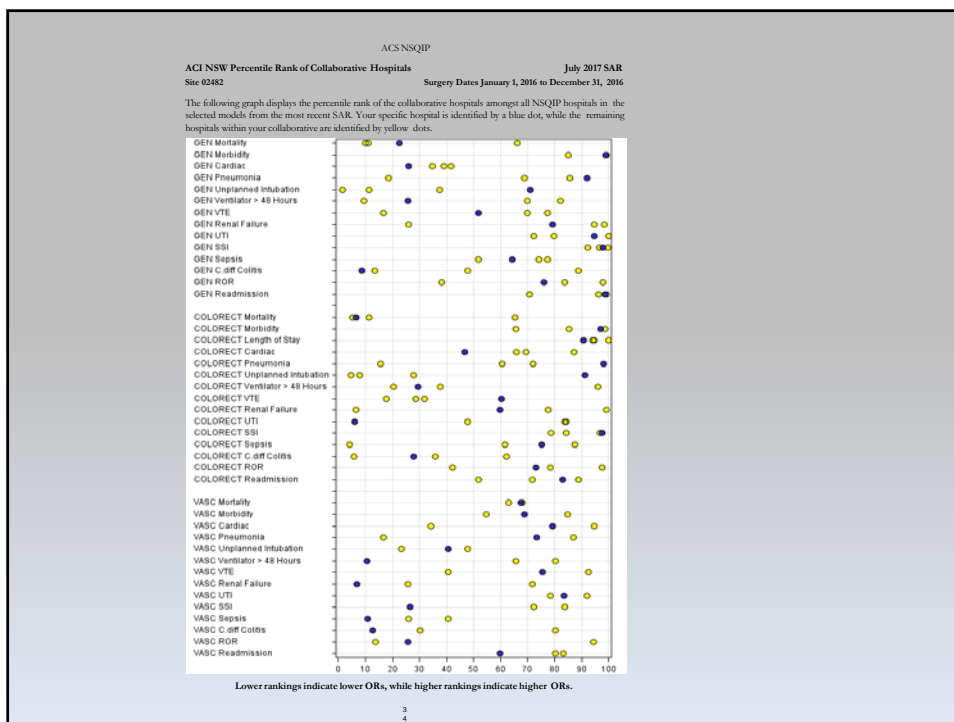
General/Vascular*	0711-0612	0112-1212	0712-0613	0113-1213	0713-0614	0114-1214	0714-0615	0115-1215	0715-0616	0116-1216
GMortality								0.98	0.99	
GMorbidity								1.26	1.69H	1.69H
GCards								1.12	1.21	1.59
GPneumonia								1.27	1.40	1.73H
GUplannedIntubation								0.98	0.91	1.00
GVentilator > 48Hours								0.94	0.77	0.77
GVTE								0.95	0.81	1.00
GRenalFailure								1.00	0.91	1.00
GUTI								1.10	1.21	1.69
GSSI								1.46	2.08H	1.73H
GSepsis								1.06	1.03	1.01
GCdiffColitis								1.20	0.73	0.87
GROR								1.20	1.25	1.06
GRReadmission								0.99	1.00	1.28H

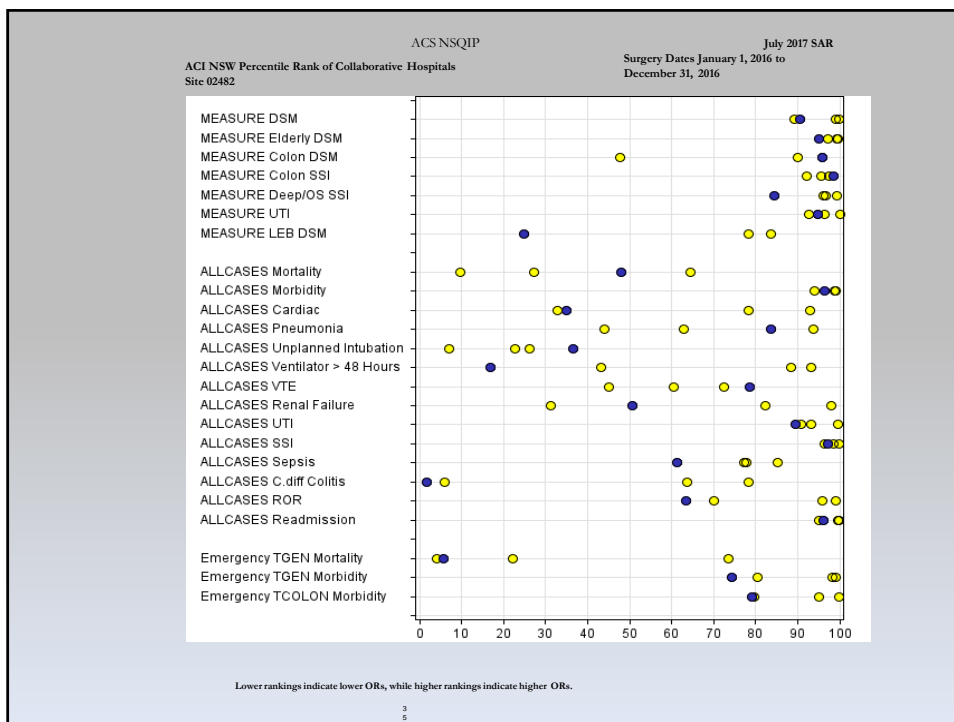
General*	0711-0612	0112-1212	0712-0613	0113-1213	0713-0614	0114-1214	0714-0615	0115-1215	0715-0616	0116-1216
GMortality								0.98	0.97	0.99
GMorbidity								1.22	1.70H	1.67H
GCards								1.20	1.13	1.67
GPneumonia								1.17	1.30	1.64H
GUplannedIntubation								0.98	0.82	1.00
GVentilator > 48Hours								0.97	0.84	0.85
GVTE								0.95	0.81	0.99
GRenalFailure								1.00	0.90	1.14
GUTI								1.06	1.20	1.60
GSSI								1.36	2.04H	1.69H
GSepsis								0.97	1.04	1.00
GCdiffColitis								1.20	0.77	0.70
GROR								1.20	1.41	1.12
GRReadmission								0.91	1.11	1.36H

Colorectal*	0711-0612	0112-1212	0712-0613	0113-1213	0713-0614	0114-1214	0714-0615	0115-1215	0715-0616	0116-1216
COLRECTMortality								0.98	0.97	0.99
COLRECTMorbidity								1.20	1.64H	1.59H
COLRECTLength of Stay								1.17	1.27	1.68
COLRECTCards								1.17	1.30	1.64H
COLRECTPneumonia								0.94	1.12	2.05H
COLRECTUnplannedIntubation								0.99	0.86	1.16
COLRECTVentilator > 48Hours								0.98	0.86	0.97
COLRECTVTE								0.98	0.81	1.00
COLRECTRenal Failure								0.98	0.81	1.00
COLRECTUTI								1.08	0.99	1.61
COLRECTSSI								1.02	2.42H	1.79H
COLRECTSepsis								0.94	1.07	1.17
COLRECTCdiffColitis								0.97	0.60	0.87
COLRECTROR								1.06	1.00	1.07
COLRECTReadmission								1.00	1.12	1.06

Vascular*	0711-0612	0112-1212	0712-0613	0113-1213	0713-0614	0114-1214	0714-0615	0115-1215	0715-0616	0116-1216
VASMortality								0.98	0.97	0.99
VASMorbidity								1.11	1.25	1.65
VASCards								0.94	1.01	1.13

*For the "over time" report, only outlier status is addressed in color codes. Values prior to 0711 are O/E ratios rather than odds ratios.

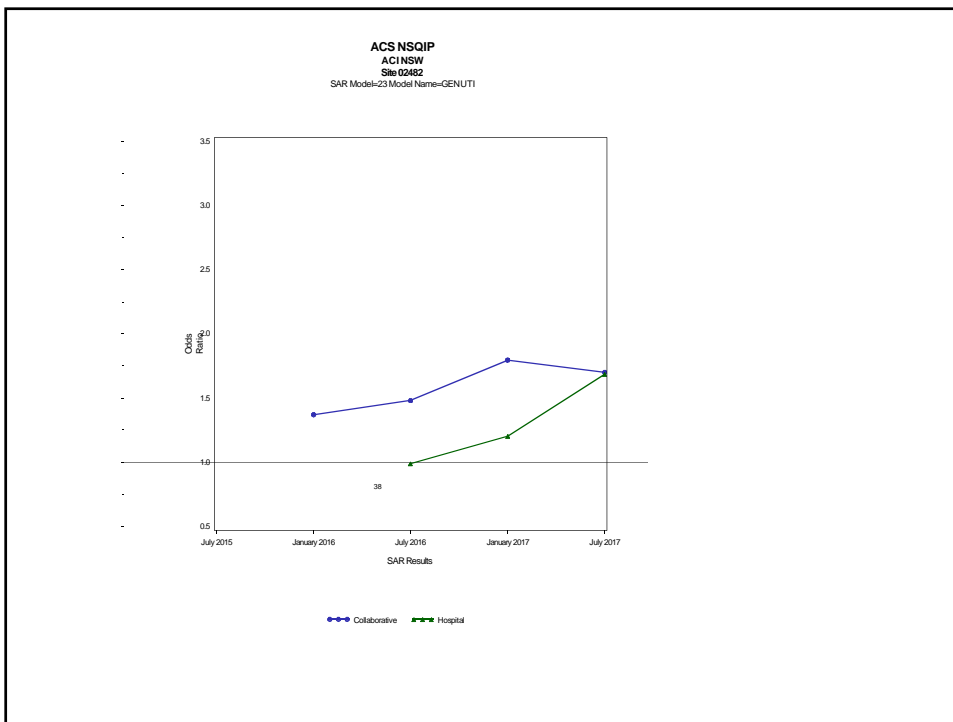
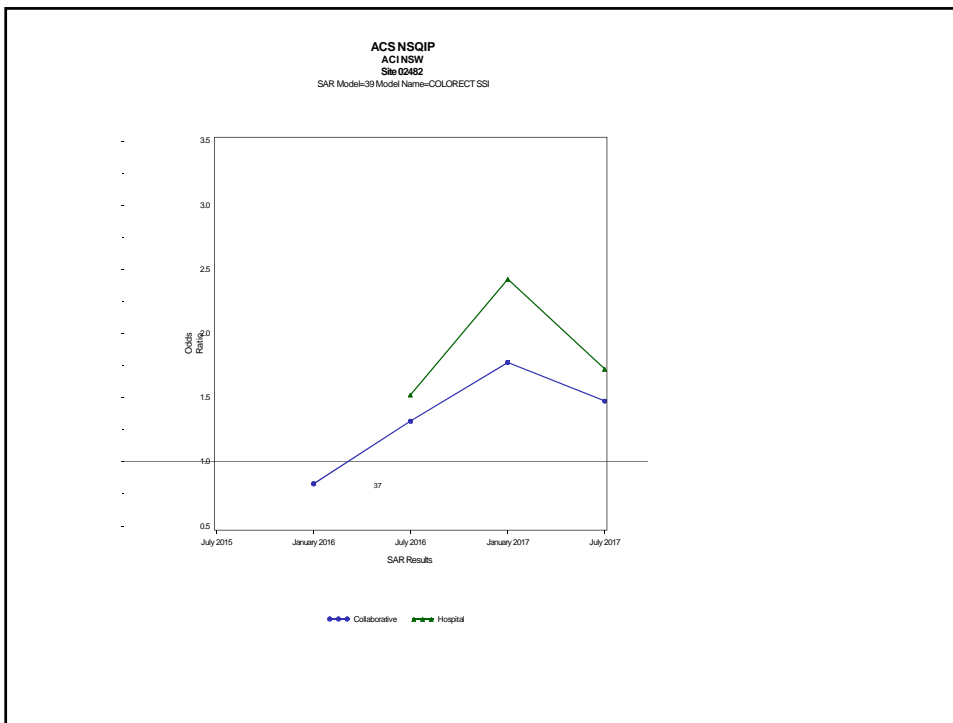




Commonest highlighted problems

UTI

SSI





We have the data – now what?

Collect data for at least 12 months

Engage with the surgeons– need to own the data

Expect the stages of Grief – denial, anger, bargaining, depression and acceptance

Identify an area to focus on with achievable goals



Utilizing Hospital Outcomes for Quality Improvement

- All hospitals have an opportunity to improve care.
 - Even hospitals with “Exemplary” or “As Expected” outcomes can benefit from quality improvement efforts.
- Quality improvement is a multi-disciplinary effort.
 - Collaboration with quality management, hospital administration, and clinical providers from all specialties promotes success.

ORIGINAL ARTICLE

Improved Surgical Outcomes for ACS NSQIP Hospitals Over Time

Evaluation of Hospital Cohorts With up to 8 Years of Participation

Mark E. Cohen, PhD,* Yaoming Liu, PhD,* Clifford Y. Ko, MD, MS, MSHS, FACS,*†‡
and Bruce L. Hall, MD, PhD, MBA, FACS*§||¶

Annals of Surgery • Volume 263, Number 2, February 2016

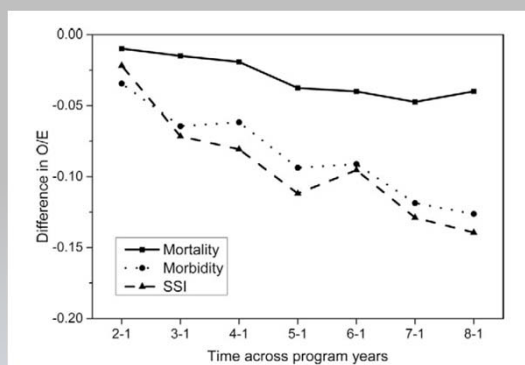
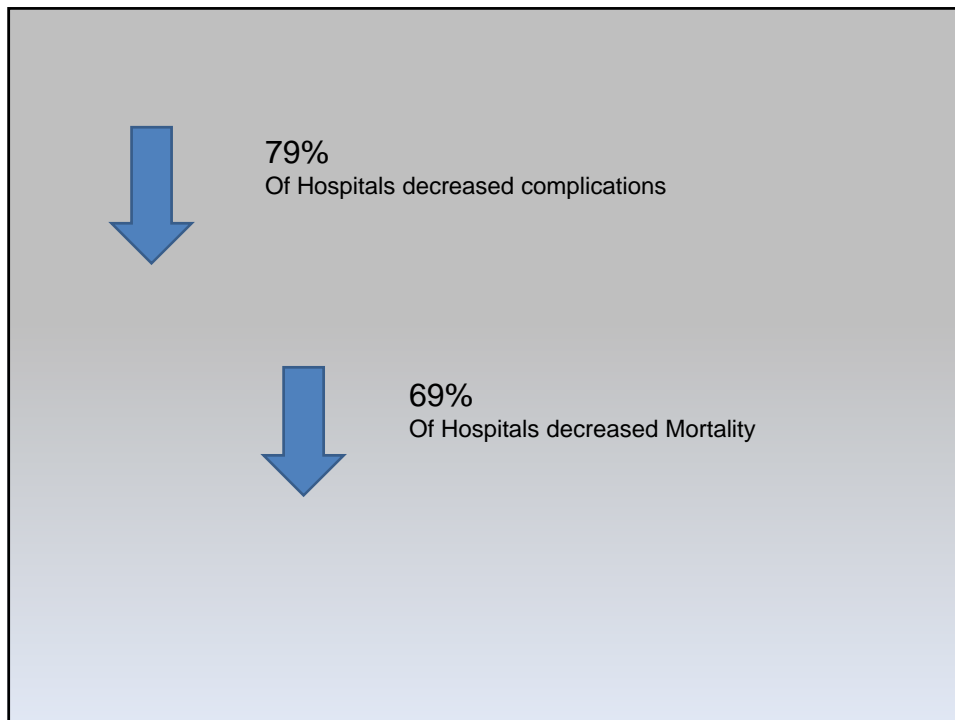



FIGURE 4. Mean differences in O/E ratios as a function of years in ACS NSQIP for mortality, morbidity, and SSI.




Utilizing Hospital Outcomes for Quality Improvement



- Utilize resources available from the ACS NSQIP secure website
 - Best Practices Guidelines
 - Prevention of Postoperative Pulmonary Complications
 - Prevention of Catheter-Associated Urinary Tract Infections
 - Prevention and Treatment of Venous Thromboembolism
 - Prevention and Assessment of Intravascular Catheter-Related Bloodstream Infections
 - Prevention of Surgical Site Infections
 - Prevention of Ventilator-Associated Pneumonia
 - Quality Improvement Primers
 - Leading and Managing Organizational Change
 - Statistical Process Control Charts
 - Best Practices Case Studies
- Monitor the impact of quality improvement initiatives and disseminate those achievements.
 - All of health care benefits when best practices are identified and shared
- Use the online ACS NSQIP Online Forum to share and discuss quality improvement initiatives with others



Surgical Risk Calculator



AMERICAN COLLEGE OF SURGEONS
Inspiring Quality. Highest Standards. Better Outcomes.

[Risk Calculator Home Page](#) [About](#) [FAQ](#) [ACS Website](#) [ACS NSQIP Website](#)

Enter Patient and Surgical Information

Procedure 44140 - Colectomy, partial, with anastomosis Clear

Begin by entering the procedure name or CPT code. One or more procedures will appear below the procedure box. You will need to click on the desired procedure to properly select it. You may also search using two words (or two partial words) by placing a '+' in between, for example: "cholecystectomy + cholangiography"

Reset All Selections

Are there other potential appropriate treatment options? Other Surgical Options Other Non-operative options None

Please enter as much of the following information as you can to receive the best risk estimates. A rough estimate will still be generated if you cannot provide all of the information below.

Age Group 75-84 years

Sex Male

Functional Status Independent

Emergency Case No

ASA Class Severe systemic disease

Steroid use for chronic condition No

Ascites within 30 days prior to surgery No

Systemic Sepsis within 48 hours prior to surgery None

Ventilator Dependent No

Disseminated Cancer No

Diabetes Insulin

Hypertension requiring medication Yes

Congestive Heart Failure in 30 days prior to surgery No

Dyspnea With Moderate exertion

Current Smoker within 1 Year Yes

History of Severe COPD No

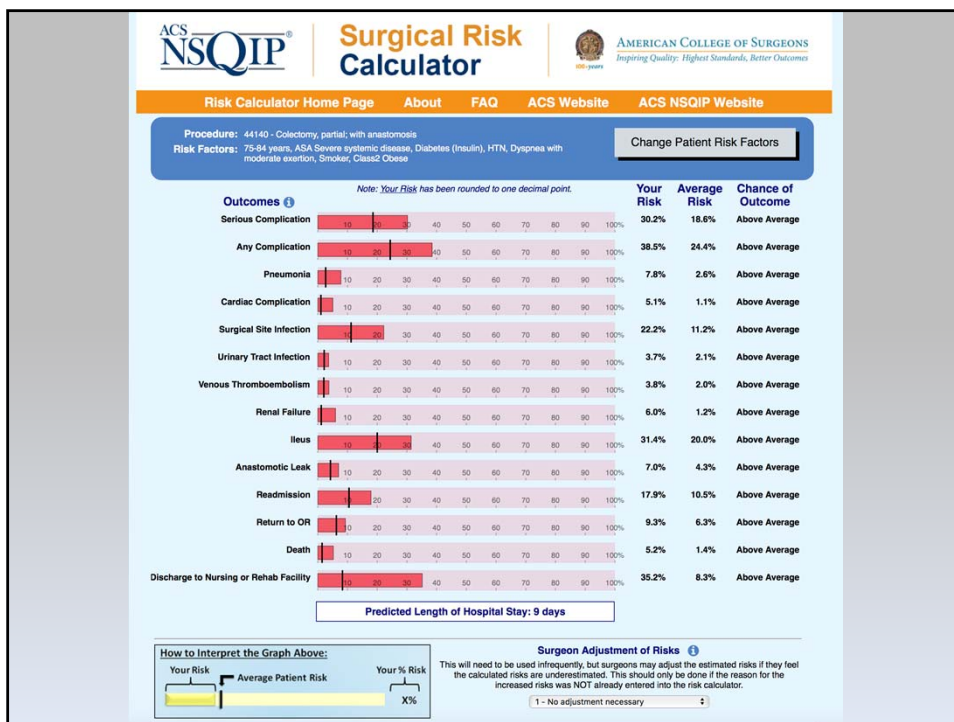
Dialysis No

Acute Renal Failure No

BMI Calculation:
Height: 89 in / 175 cm
Weight: 267 lb / 120 kg

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Step 2 of 4





Summary

This is just one tool for the process of quality improvement.

Assessment of Clinical outcomes v
Administrative data

It is a system analysis – surgical outcomes are dependent on the whole process

Over time there will be more data on individual surgeons